

A Categorical Approach to Resource Approximation

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- 1 Introduction
- 2 Resource Approximation for the λ -Calculus
- 3 Approximation Categorical Semantics
- 4 Further Perspectives

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Approximation of Programs

$$\mathcal{L} \ni P, Q \quad P \rightsquigarrow Q$$

- Potentially *infinite* computational behaviour.

$$P \rightsquigarrow P_1 \rightsquigarrow \dots \rightsquigarrow P_n \rightsquigarrow \dots$$

- An auxiliary language with *finitary behaviour*:

$$\mathcal{M} \ni p, q \quad p \rightsquigarrow q \quad P = \bigvee_{p \triangleleft P} p$$

- *Simulating evaluation*:

$$\begin{array}{ccc} P \rightsquigarrow Q & & \\ \nabla & & \nabla \\ p \rightsquigarrow q & & \end{array}$$

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$$\Lambda \ni M, N ::= x \mid \lambda x.M \mid MN$$

$$(\lambda x.M)N \rightarrow M\{N/x\}$$

- $\lambda x.M$ stands for the function $x \mapsto M$.
- The λ -calculus is *Turing complete*.

Example

$$I = \lambda x.x \quad \Delta = \lambda x.xx \quad \Omega = \Delta\Delta$$

$$IM \rightarrow M \quad \Delta M \rightarrow MM \quad \Omega \rightarrow \Omega$$

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The computation ends when a *normal form* is reached.

Example

$$((\lambda x. \lambda y. x + y)2)3 \rightarrow (\lambda y. 2 + y)3 \rightarrow 2 + 3 \rightarrow 5$$

$$(\lambda x. xx)y \rightarrow yy$$

A term is *linear* if it uses its input exactly once during computation.

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Types:

$$A ::= \star \mid A \Rightarrow B$$

Typing Derivations:

$$\frac{}{x : A \vdash x : A} \quad \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x. M : A \Rightarrow B}$$
$$\frac{\Gamma \vdash M : A \Rightarrow B \quad \Gamma N : A}{\Gamma \vdash MN : B}$$

Theorem

If M is simply typable then it is s.n.

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Theorem

If M is simply typable then it is s.n.

- Multiple typing becomes relevant (Coppo-Dezani 1978):

$$A, B ::= a \mid A \Rightarrow B \mid A \cap B \mid \Omega$$

- $A \cap B$ can be associative, commutative, idempotent.
- When $A \cap A \neq A$ the system becomes *resource sensitive*.
- Very useful: characterizing *normalization properties*, *execution time* ...

Intersection Types Semantics

$$\llbracket M \rrbracket = \{(\Gamma, A) \mid \Gamma \vdash M : A\}$$

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A Logical Decomposition

Linear Logic (Girard 1980s):

$$A \Rightarrow B = !A \multimap B$$

Linear arrow $A \multimap B$ and *exponential modality* $!$, the linear *conjunction* is the *tensor product* $A \otimes B$.

The exponential as a '*limit*' construction:

$$!A = \lim_{n \rightarrow \infty} \overbrace{A \otimes \cdots \otimes A}^{n \text{ times}}$$

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Syntax (Ehrhard, Regnier 2008; Mazza et al. 2017)

$$\Lambda_r \ni s, t ::= x \mid \lambda \langle x_1, \dots, x_k \rangle . s \mid s \langle t_1, \dots, t_k \rangle \mid 0$$

- $\lambda \vec{x}.0 = 0 \vec{t} = s(\vec{t}_1 :: \langle 0 \rangle :: \vec{t}_2) = 0$.
- Each variable is assumed to appear at most *once*.
- *Linear Substitution*:

$$s[\vec{t}/\vec{x}] = \begin{cases} s\{\vec{t}/\vec{x}\} & \text{if } \text{len}(\vec{x}) = \text{len}(\vec{t}) \\ 0 & \text{otherwise.} \end{cases}$$

- Resource reduction:

$$(\lambda \vec{x}.s)\vec{t} \rightarrow s[\vec{t}/\vec{x}]$$

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Failure of computation:

$$(\lambda\langle x, y \rangle.x)\langle t, q \rangle \rightarrow 0 \quad (\lambda\langle x, y \rangle.x\langle y \rangle)\lambda\langle x, y \rangle.x\langle y \rangle \rightarrow 0$$

Theorem (Ehrhard, Regnier 2008)

*The resource reduction is **strongly normalizing**.*

Proof.

The result is a corollary of resource calculus linearity. \square

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Types for Resource Terms : Variable

Intersection Types:

$$D_A \ni a ::= o \in A \mid a_1 \otimes \cdots \otimes a_k \multimap a$$

Types approximation:

$$o \triangleleft \star \quad \frac{a_1 \triangleleft A \dots a_k \triangleleft A \quad b \triangleleft B}{a_1 \otimes \cdots \otimes a_k \multimap b \triangleleft A \Rightarrow B}$$

$$\frac{}{x : a \vdash x : a}$$

Corolla Representation



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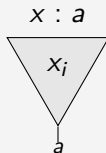
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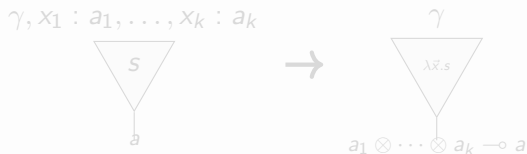
Corolla Representation



Multilinear λ -abstraction:

$$\frac{\gamma, x_1 : a_1, \dots, x : a_k \vdash s : a}{\gamma \vdash \lambda \langle x_1, \dots, x_k \rangle . s : \langle a_1, \dots, a_k \rangle \multimap a}$$

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Corolla Representation

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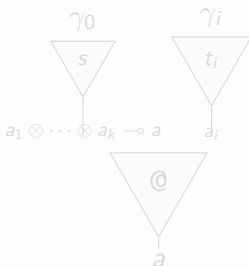
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Types for Resource Terms: Application

Multilinear application:

$$\frac{\gamma_0 \vdash s : \langle a_1, \dots, a_k \rangle \multimap b \quad (\gamma_1 \vdash t_i : a_i)_{i=1}^k}{\gamma_1, \dots, \gamma_k \vdash s \langle t_1, \dots, t_k \rangle : b}$$

Corolla Representation

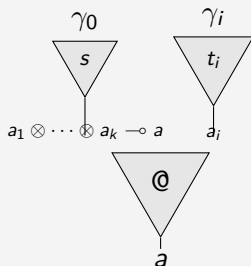


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Corolla Representation



Approximation of Ordinary λ -Terms

Approximation judgments (Mazza et al., 2017):

$$\frac{}{y \triangleleft x \vdash y \triangleleft x} \quad \frac{\Gamma, y_1 \triangleleft x, \dots, y_k \triangleleft x \vdash s \triangleleft M}{\Gamma \vdash \lambda \langle x_1, \dots, x_k \rangle . s \triangleleft \lambda x . M}$$
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Example

$$\delta = (\lambda \langle x_1, x_2 \rangle . x_1 \langle x_2 \rangle) \triangleleft \Delta = (\lambda x . xx)$$
$$\delta \langle m_1, m_2 \rangle \rightarrow m_1 \langle m_2 \rangle \quad \Delta M \rightarrow MM$$

Theorem (cf. De Carvalho, 2008)

$$\text{nf}(s) \neq 0 \iff s \text{ is typable in } E$$

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Expansions of Ordinary Terms

- *Untyped* Expansion:

$$T(M)(n) = \{s \in \Lambda_r \mid \Gamma \vdash s \triangleleft M \text{ and } \text{len}(\Gamma) = n\}$$

- *Typed* Expansion:

$$T(M)(\vec{x} : \gamma, a) = \{s \in \Lambda_r \mid \vec{x} : \gamma \vdash s : a \text{ and } \vec{x} \triangleleft \text{fv}(M) \vdash s \triangleleft M\}$$

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$$T(\lambda x.xx)(0) = \{\lambda \langle x_0, \dots, x_k \rangle. x_0 \langle x_1, \dots, x_k \rangle \mid k \in \mathbb{N}\}$$

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Slogan

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A *category*:

A B

 C

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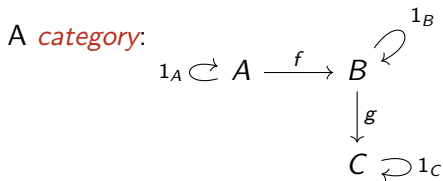
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A *category*:

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ & & \downarrow g \\ & & C \end{array}$$

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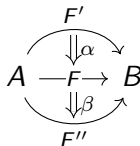
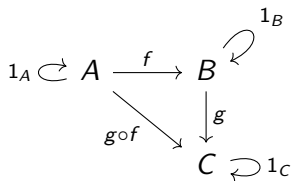
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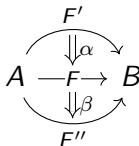
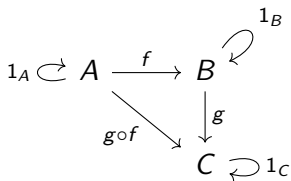
A 2-*category*:



Slogan

The way that objects interact with each other is more important than the objects themselves.

A 2-*category*:



$$f \circ 1 = 1 \circ f = f \quad (h \circ g) \circ f = h \circ (g \circ f)$$

Logic
Formulae
Proofs
Cut-elimination

$$\frac{\frac{\begin{array}{c} \pi_1 \\ \vdots \\ \Gamma, A \vdash B \end{array}}{\Gamma \vdash A \Rightarrow B} \quad \begin{array}{c} \pi_2 \\ \vdots \\ \Gamma \vdash A \end{array}}{\Gamma \vdash B} \quad \rightarrow \quad \begin{array}{c} \pi_1\{\pi_2/(A \vdash)\} \\ \vdots \\ \Gamma \vdash B \end{array}$$

Logic
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PL	Logic
Types	Formulae
Programs	Proofs
Evaluation	Cut-elimination

$$\frac{\frac{\frac{\pi_1}{\vdots} \Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x. M : A \Rightarrow B} \quad \frac{\pi_2}{\vdots} \Gamma \vdash N : A}{\Gamma \vdash (\lambda x. M)N : B} \rightarrow \frac{\pi_1 \{ \pi_2 / (A \vdash) \}}{\vdots} \Gamma \vdash M \{ N / x \} : B$$

PL	Logic	Categories
Types	Formulae	Objects
Programs	Proofs	Morphisms
Evaluation	Cut-elimination	Equality

$$\frac{\frac{\frac{\pi_1}{\vdots} \Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x. M : A \Rightarrow B} \quad \frac{\pi_2}{\vdots} \Gamma \vdash N : A}{\Gamma \vdash (\lambda x. M)N : B}}{\Gamma \vdash M\{N/x\} : B} \rightarrow$$

Denotational Semantics (Strachey-Scott, 1970s)

$$M \rightarrow N \quad \Rightarrow \quad \llbracket M \rrbracket = \llbracket N \rrbracket$$

- *Product* A & B and *Arrow* B^A such that

$$C(\Gamma \& A, B) \cong C(\Gamma, B^A)$$

$$M \mapsto \lambda x. M$$

- *Interpretation:*

$$\llbracket A \Rightarrow B \rrbracket = \llbracket B \rrbracket^{\llbracket A \rrbracket} \quad \llbracket A_1, \dots, A_n \rrbracket = \llbracket A_1 \rrbracket \& \dots \& \llbracket A_n \rrbracket$$

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A Simple Model of λ -calculus

- The category of sets and relations (Rel).

$$\text{ob}(\text{Rel}) = \text{ob}(\text{Set}) \quad \text{Rel}(X, Y) = \wp(X \times Y)$$

- Arrow type:

$$A \Rightarrow B = !A \multimap B = \text{Multisets}(A) \times B$$

- Syntactic presentation *via intersection types*.

$$a_1 \cap \cdots \cap a_k \multimap b := \langle [a_1, \dots, a_k], b \rangle$$

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Proof-Irrelevance of Relations

$$\llbracket M \rrbracket(\delta, a) = \begin{cases} 1 & \text{if } \delta \vdash M : a \\ 0 & \text{otherwise.} \end{cases}$$

The structure

$$\llbracket M \rrbracket(\Delta, a) = \left\{ \begin{array}{c} \pi \\ \vdots \\ \delta \vdash M : a \end{array} \right\}$$

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Reasoning on type derivations happens *outside* the model.

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sets	categories
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$$f \subseteq A \times B \quad f : A \times B \rightarrow \{0, 1\}$$

We could generalize a little bit...

Distributors, aka Profunctors

$$F : A^{op} \times B \rightarrow \text{Set}$$

and achieve *proof-relevant* relations!

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Between Syntax and Semantics

We can interpret λ -terms via distributors.

$$\llbracket M \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket A \rrbracket \quad \llbracket M \rrbracket : !\llbracket \Gamma \rrbracket^{op} \times \llbracket A \rrbracket \rightarrow \text{Set}$$

$!A \approx$ **category of finite lists over A**

Marcelo Fiore et al. “The cartesian closed bicategory of generalised species of structures”. In: *Journal of the London Mathematical Society* (2008)

This interpretation is given by *Expansion*:

Theorem (O., 2021)

$$\llbracket M \rrbracket(\gamma, a) = \{s \in \Lambda_r \mid \gamma \vdash s : a\}$$

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Categorification is about making things *explicit*.

- The possibility to exploit general categorical techniques to study the syntactic theory of approximation.
- Refinement and improvement of resource approximation and relational semantics.
- General semantic theory of resource calculi.
- A *dynamic* denotational semantics:

$$M \rightarrow^* N \quad \Rightarrow \quad \beta_{M,N} : \llbracket M \rrbracket \cong \llbracket N \rrbracket$$

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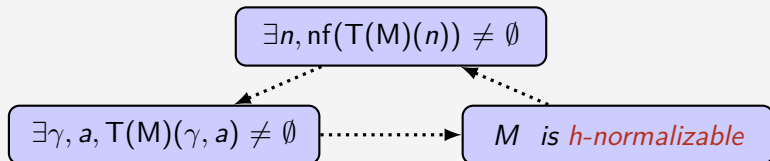
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Theorem (Qualitative Head-Normalization)



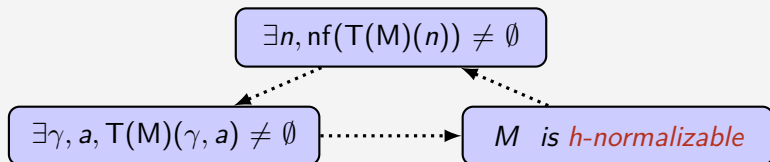
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Theorem (cf. de Carvalho 2008)

There exists $s \in \text{nf}(T(M))$ s.t.

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- 1 Introduction
- 2 Resource Approximation for the λ -Calculus
- 3 Approximation Categorical Semantics
- 4 Further Perspectives

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A syntax-independent theory of resource approximation by the means of category theory.

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$$\text{App}_{\mathcal{L}, \mathcal{M}} : A \rightarrow \mathcal{M} \times \mathcal{L}$$

$$s \triangleleft M \mapsto \langle s, M \rangle$$

Main goals:

- Achieve a categorical definition of *approximation system*.
- study necessary and sufficient conditions to get 'once and for all' normalization theorems and quantitative characterization.
- New kinds of approximations.

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- Proper formal understanding of the relationship between approximation semantics and game semantics.
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